



CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

3-28-02
Date

Leah Mennen
Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew E. Phelps
Thomas P. Van Wormer
Gary L. Riddle

Group Art Unit: 2165

Examiner: Unknown

Serial No.: 10/034,919

Atty. Dkt. No.: 2070.005300/SFD

Filed: 11/01/2001

For: METHOD AND APPARATUS FOR
ARBITRATING TRANSACTIONS
BETWEEN DOMAINS IN A COMPUTER
SYSTEM

RESPONSE TO 37 C.F.R. § 1.53(F) NOTICE

BOX MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), dated January 31, 2002, there are enclosed herewith:

- (a) Declaration executed on behalf of Andrew E. Phelps, Thomas P. Van Wormer and Gary L. Riddle;
- (b) A Power of Attorney on behalf of Sun Microsystems, Inc.;

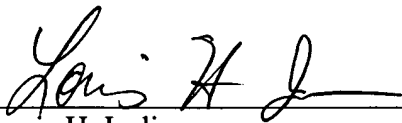
- (c) Our check in the amount of \$130.00 to cover the surcharge for late filing of the oath or declaration; and
- (d) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

An Assignment to Sun Microsystems, Inc. and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/2070.005300/SFD.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Louis H. Iselin
Reg. No. 42,684

WILLIAMS, MORGAN & AMERSON
7676 Hillmont, Suite 250
Houston, Texas 77040
(713) 934-7000

Agent for Applicants

Date: March 28, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/034,919	11/01/2001	Andrew E. Phelps	2070.005300

Scott F. Diring
Patent Agent
Williams, Morgan & Amerson, P.C.
7676 Hillmont, Suite 250
Houston, TX 77040



CONFIRMATION NO. 1415

FORMALITIES LETTER



OC00000007401805

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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